



GEF 3 International Waters Tracking Tool

- **Results-based Management at programme level for GEF 3 Replenishment**
- **Global quantitative picture for results in the GEF 3 IW portfolio**
- **PIR/AMR projects performance reviews not affected**
- **Process and Stress Reduction Indicators used**
- **Rating subject to self assessment**
- **Ratings to provide a portfolio view, not a project view**
- **Additional analysis of portfolio results**
- **Reporting will start in calendar year 2008**

GEF 3 IW Tracking Tool

GEF 3 IW Strategic Priority 1
SAP Implementation
Indicators
CORE
ADDITIONAL

GEF ID	Name	IA	GEF allocation	IA fees	Legal Agreement Adopted/Implemented	& Sustainable Regional Transboundary Waters Institution	National/Local Reforms Enacted/Implemented	Ground Results (Demonstrations and Investments)	Functional Interministerial Committees (IMC)	Catalytic Results	Others
2044	Danube/ Black Sea Partnership	WB	4.7								
1355	Moldova: Agricultural Pollution Control Project	WB	4.95								
1074	Turkey: Anatolia Watershed Rehabilitation Project	WB	7.00								
2143	Bosnia-Herzegovina : Water Quality Protection Project*	WB	4.15								
2141	Reduction of Enterprise Nutrient Discharges Project (RENDER): Serbia and Montenegro	WB	9.02								
1351	Hungary: Reduction of Nutrient Discharges	WB	12.50								
2970	Romania: Integrated Nutrient Pollution Control Project	WB	5.50								
3148	Croatia: BS/Agric. Pollution Control	WB	5.00								
1542	Moldova: Wastewater, Environmental Infrastructure	WB	4.56								
2454	Strat P-ship Pollution E Asia Tr 1	WB	0.0	0.0							
2750	China: Ningbo Water and Environment Project	WB	5.00								
2979	China: Shandong Second Environment Project	WB	5.00								
2758	Vietnam: Coastal Cities Environment and Sanitation Project	WB	5.00								
2759	Manila Third Sewerage Project (MTSP)	WB	5.00								
2972	China: Liaoning Medium Cities Infrastructure	WB	5.00								
2601	Strat P-ship Med Inv Fund Tr 1	WB	7.0	0.63							
2602	Egypt: Alexandria Integrated Coastal Zone Management Project	WB									
2132	Bosnia-Herzegovina, Croatia: Integrated Ecosystem Management of the Neretva and Trebisnjica River Basin:	WB									
2042	Danube Regional Impl, Tranche II	UNDP	12.0	0.5							

GEF 3 SP1 SAP Implementation

	CORE			ADDITIONAL		
	Process Indicators			Stress Reduction Indicators	Process Indicators	Process/ Stress Reduction Indicators
Rating	<i>Regional Legal Agreement Adopted/Implemented</i>	<i>Functioning & Sustainable Regional Transboundary Waters Institution</i>	<i>National/Local Reforms Enacted/Implemented</i>	<i>On -the-Ground Results (Demonstrations and Investments)</i>	<i>Functional Interministry Committees (ICM)</i>	<i>Catalytic</i>
0	No legal agreement in place	No TBW institution in place	Agreed reforms neither enacted nor implemented in majority of countries	No progress on implementing demonstrations or investments	No IMC established	
1	Legal agreement signed and ratified by more than one country	TBW institution established but functioning with limited effectiveness; 50% or less of countries contributing dues	Most countries have enacted reforms but less than 50% are implementing	All demos/investments are designed and agreed with stress indicators and targets set	IM Cs established but not functioning effectively or at all.	
2	Legal agreement ratified by necessary quorum and in force	TBW institution established and functioning with moderate effectiveness, 50-75% of countries contributing dues	50-80% of countries have enacted and are implementing reforms	More than half of demos/investments reached the targets in stress reduction	IM Cs established and functioning on informal basis	
3	Legal agreement in force, ratified by all countries	TBW institution in place, fully functioning and fully sustained by at or near 100% country contributions	80% or more of countries have enacted and are implementing reforms	All demos/investments achieved the targets, projected stress reduction documented, results fully disseminated	IM Cs established, functioning and formalized thru legal and/or institutional arrangements	



GEF 4 International Waters Tracking Tool (Draft)

- Small WG established by the IW Task Force to develop GEF 4 IW TT requested by GEF 4 Replenishment
- Consistent to extend possible with GEF 3 IW TT
- All GEF 4 IW Strategic Programmes Indicators included
- Aggregation and analysis of results possible for each SP and for entire GEF 4 portfolio
- Process, Stress Reduction, **and Environmental & Socioeconomic indicators** proposed
- Required for CEO endorsed GEF 4 IW projects



GEF 4 International Waters Tracking Tool (Draft)

Open questions to be resolved:

- **Definition of catalytic results**
- **Implementation of national reforms as a SR indicator**
- **Expansion or reduction of # of indicators to be used**
- **Targeted learning projects results measurement**



GEF 4 Indicators rating Scale (Process)

Rating	Agreement on TB priorities and Root causes (TDA)	Functional National Inter-Ministry Committees	Regional or Global Legal Agreement	National commitments to policy, legal & institutional reforms (adoption of SAP, ICM & IWRM Plans, etc.)	Functioning and Sustainable Regional TB Waters Institution	Incorporation of (SAP, etc.) priorities into PRSP, CAS, UN frameworks, etc.	Private sector engagement & Initiatives	Resource Mobilization (Co-Financing)
0	No progress on TDA	No IMCs established	No legal agreement in place	No progress	No TBW Institution in place	No progress in incorporating priorities into national strategic planning frameworks	No PS involvement	Actual co-finance 50% or less than that committed at Council approval
1	Priority TB issues identified and agreed but based on limited enviro/socioecon impact information; none or	IMCs established but not functioning effectively or at all.	Legal agreement signed	Less than 50% of countries committed to policy, legal and institutional reforms required to address agreed priority issues.	TBW institution established but functioning with limited effectiveness; countries contributing dues on voluntary basis	Some limited progress in incorporating priorities into national frameworks but very generic with no specific commitments	Some consultations with PS but no specific commitments to PS involvement	Actual co-finance 75-95% of that committed at Council approval
2	Issues agreed based on solid baseline of enviro and socioecon impacts info; root cause analysis is inadequate	IMCs established and functioning on informal basis	More than one country ratified legal agreement	50-75% of countries committed to policy, legal and institutional reforms required to address agreed priority issues	TBW institution established and functioning with moderate effectiveness, 50% of countries contributing dues	Priorities specifically incorporated into some national frameworks with clear commitments and time frames for achievement	PS making modest contributions to project	Actual co-finance same as committed at Council approval
3	agreement on priority TB issues drawn from valid enviro/socioecon impacts baseline, immediate and root causes properly	IMCs established, functioning and formalized thru legal and/or institutional arrangements	Legal agreement ratified by necessary quorum and in force	Clear commitments in 90% or more of countries to policy, legal and institutional reforms required to address agreed priority issues	TBW institution in place, fully functioning and fully sustained by at or near 100% country contributions	Majority of national frameworks have incorporated priorities with clear commitments and time frames for achievement	PS important partner in project implementation and contributing significantly	Actual co-finance exceeds that committed at Council approval



GEF 4 International Waters Tracking Tool (Draft)

SP 1 - 4 Stress Reduction and E&S Status Indicators			
	Stress Reduction (pollution reduction, reduced fishing pressure, increased water use efficiency, # or ha of habitat protected, etc.)		Environmental/Water Resources & Socioeconomic Status (pollution levels, fish stock biomass, productivity, hydrological flows, local per cap incomes, unemployment rate, access to water benefits, etc.)
Rating	A. Through implementation of national reforms providing tangible/measurable results	B. Through Demonstrations & Investments (technology, best practice)	
0	Agreed reforms neither enacted nor implemented in majority of countries	No progress on implementing demonstrations or investments	No measurable improvement in any of agreed priority E & S Status indicators; some even deteriorating
1	Most countries have enacted reforms but less than 50% are implementing	All demos/investments are designed and agreed with stress indicators and targets set.	Less than 50% of agreed priority E & S Status Indicators showing measurable progress towards agreed Environmental Quality and/or Water Resource Objectives
2	50-80% of countries have enacted and are implementing reforms	More than half of demos/investments reached the targets in stress reduction	50-80% of agreed priority E & S Status Indicators showing measurable progress towards agreed Environmental Quality and/or Water Resource Objectives
3	80% or more of countries have enacted and are implementing reforms	All demos/investments achieved the targets, projected stress reduction documented, results fully disseminated	Over 80% of agreed priority E & S Status Indicators showing measurable progress towards agreed Environmental Quality and/or Water Resources Objectives