



# REQUEST FOR CEO ENDORSEMENT

**PROJECT TYPE: Full-sized Project**

**TYPE OF TRUST FUND: GEF Trust Fund**

For more information about GEF, visit [TheGEF.org](http://TheGEF.org)

## PART I: PROJECT INFORMATION

Project Title: Strengthening Global Governance of Large Marine Ecosystems and Their Coasts through enhanced sharing and application of LME/ICM/MPA knowledge and information tools (LME: LEARN).			
Country(ies):	Global	GEF Project ID: <sup>1</sup>	5278
GEF Agency(ies):	UNDP (select) (select)	GEF Agency Project ID:	4481
Other Executing Partner(s):	IOC/UNESCO	Submission Date:	2015-05-15
GEF Focal Area (s):	International Waters	Project Duration(Months)	36
Name of Parent Program (if applicable):		Project Agency Fee (\$):	237,498
<ul style="list-style-type: none"> <li>➤ For SFM/REDD+ <input type="checkbox"/></li> <li>➤ For SGP <input type="checkbox"/></li> <li>➤ For PPP <input type="checkbox"/></li> </ul>			

### A. FOCAL AREA STRATEGY FRAMEWORK<sup>2</sup>

Focal Area Objectives	Expected FA Outcomes	Expected FA Outputs	Trust Fund	Grant Amount (\$)	Cofinancing (\$)
IW-3 (select)			GEF TF	2,500,000	13,254,600
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
<b>Total project costs</b>				2,500,000	13,254,600

### B. PROJECT FRAMEWORK

Project Objective:						
Project Component	Grant Type	Expected Outcomes	Expected Outputs	Trust Fund	Grant Amount (\$)	Confirmed Cofinancing (\$)
1. To improve global ecosystem-based governance of Large Marine Ecosystems and their coasts by generating knowledge, building capacity, harnessing public and private partners, and supporting south-to-south learning and north-to-south	TA	<ul style="list-style-type: none"> <li>•Enhanced network of partners working together to provide consistent management and ecosystem-based methods and technical support to GEF-LME/ICM/MPA projects.</li> <li>•Increased interaction between GEF- LME,</li> </ul>	1.1. Established network (community of practice) of GEF IW Large Marine Ecosystems and their coasts projects, and other marine and coastal initiatives supported by GEF and partner organizations. 1.2. Technical and Policy-level LME Governance project	GEF TF	610,000	5,366,710

<sup>1</sup> Project ID number will be assigned by GEFSEC.

<sup>2</sup> Refer to the [Focal Area Results Framework and LDCF/SCCF Framework](#) when completing Table A.

learning.		<p>MPA and ICM projects and other marine and coastal initiatives supported by GEF and partner organizations.</p> <ul style="list-style-type: none"> <li>• Increased collaboration and coordination between GEF-LME, ICM and MPA projects and partners, within the geographic boundaries of LMEs.</li> <li>• Progress towards fully integrated ‘ridge to reef’ ecosystem-based management of freshwater and marine transboundary water systems, based on good governance practices, through increased generation of knowledge and enhanced coordination between GEF-IW surface, ground water and LME and ICM projects.</li> </ul>	<p>Steering Committee established.</p> <p>1.3. Technical Working Groups established to develop new LME governance tools in partnership with GEF-LME/ICM/MPA projects, and other marine and coastal initiatives.</p> <p>1.4. Regional Networks established to enhance interactions and harmonization between GEF- LME, ICM and MPA and other GEF-IW transboundary surface and ground water projects (jointly with IW:LEARN).</p>			
2. Synthesis and incorporation of knowledge into policy-making, capture of best LME governance practices, and development of new methods and tools to enhance the management effectiveness of LMEs and to incorporate ICM, MPAs and climate variability and change including the 5 LME modules.	TA	<ul style="list-style-type: none"> <li>•GEF LME/ICM/MPA projects equipped with new tools that incorporate ICM, MPAs and climate variability and change.</li> <li>•Innovative approaches captured and available for use by LME, MPA and ICM practitioners in LME governance.</li> <li>•LME/ICM/MPA projects accessing and using the tools to address the emerging priorities and new requirements for</li> </ul>	<p>2.1. Series of validated methods and new tools to address priority transboundary issues and national governance reforms (LME/ICM/MPA and climate variability and change).</p> <p>2.2. An LME/ICM/MPA Toolkit for adaptive ecosystem-based governance which incorporates tools on best practice and new GEF6 requirements.</p> <p>2.3. Codification of</p>	GEF TF	610,000	2,526,595

		<p>GEF.</p> <ul style="list-style-type: none"> <li>Facilitate the exchange of experiences between LME's on data and information management issues, and promote the development of common data management approaches for LME/ICM/MPA projects.</li> </ul>	<p>experiences and best practices from GEF LME/ICM/MPA projects and other coastal and marine initiatives supported by GEF and partner organisations for inclusion in LME toolkit of assessment and governance practices.</p> <p>2.4. Establishment of an "LME/ IW environmental data management committee".</p>			
<p>3. Capacity and partnership building through twinning and learning exchanges, workshops and training among LMEs and similar initiatives (e.g. Seascapes).</p>	TA	<ul style="list-style-type: none"> <li>Increased collaboration and learning exchanges South-to-South between the GEF LME, MPA and ICM projects, and North-to-South and South-to-North partnerships with non-GEF marine and coastal initiatives (e.g. Seascapes) to build capacity and develop training and education materials.</li> <li>GEF LME/ICM/MPA practitioners trained in new techniques and approaches for ecosystem-based 5-modular assessment, management and governance practices for ecosystem and mitigation of effects of climatic variability and change in LMEs.</li> <li>Increased capacity of GEF LME, ICM and MPA project staff and practitioners, to address the new ecosystem-based governance priorities</li> </ul>	<p>3.1. Functional dialogue, project twinning, learning exchanges, and training workshops in ecosystem-based governance among GEF LME/ICM/MPA projects and other GEF and non-GEF funded marine and coastal initiatives, such as Seascapes,, to build capacity and for portfolio learning.</p> <p>3.2. GEF LME/ICM/MPA practitioners fully trained in ecosystem-based governance techniques and approaches including adaptation to climatic variability and change.</p> <p>3.3. New training materials developed in collaboration with learning partners (e.g. IUCN, FAO, IOC, ICES, NOAA, IOI, Conservation International, UNU-INWEH) and through learning exchanges and workshops to address priority issues in GEF6.</p>	GEF TF	570,000	2,929,269

		in GEF6 built through portfolio learning, partnerships, and training.				
4. Communication, dissemination and outreach of GEF LME/ICM/MPA project achievements and lessons learned.	TA	<ul style="list-style-type: none"> <li>•Communication of results to stakeholders, increased awareness of LME issues and engagement in networks through global and regional LME /COPs</li> <li>•Strategy developed for showcasing LME and ICM assessment and governance best practices among project partners, stakeholders, resource managers, broader scientific community, government representatives, private companies, universities, schools and the public.</li> <li>•Global policy discussions informed and impacted by knowledge and experience of GEF-ecosystem based LME/ICM/MPA governance project.</li> </ul>	<p>4.1. Global LME/ICM/MPA-communication platform linking GEF LME, ICM and MPA projects with other relevant initiatives.</p> <p>4.2. Lessons from GEF ecosystem-based LME/ICM/MPA projects disseminated through IW:LEARN website, partners and project website.(1% of the overall budget will be spend on IW:Learn related activities)</p> <p>4.3. Publication of findings from LME/ICM/MPA projects in peer-reviewed scientific, coastal and ocean management journals.</p> <p>4.4. Participation of GEF ecosystem-based LME/ICM/MPA project staff and practitioners in regional and global conferences (e.g, Global Ocean Forum, ICES Science Conferences, etc.).</p>	GEF TF	585,000	1,831,526
	(select)			(select)		
	(select)			(select)		
	(select)			(select)		
	(select)			(select)		
Subtotal					2,375,000	12,654,100
Project management Cost (PMC) <sup>3</sup>				GEF TF	125,000	600,500
<b>Total project costs</b>					2,500,000	13,254,600

<sup>3</sup> PMC should be charged proportionately to focal areas based on focal area project grant amount in Table D below.

**C. SOURCES OF CONFIRMED COFINANCING FOR THE PROJECT BY SOURCE AND BY NAME (\$)**

Please include letters confirming cofinancing for the project with this form

Sources of Co-financing	Name of Co-financier (source)	Type of Cofinancing	Cofinancing Amount (\$)
Others	NOAA	In-kind	5,046,576
Other Multilateral Agency (ies)	IOC/UNESCO	In-kind	1,730,500
CSO	IUCN	In-kind	950,000
GEF Agency	UNDP	In-kind	1,800,000
Others	ICES	In-kind	3,354,524
CSO	Conservation International	In-kind	373,000
(select)		(select)	
(select)		(select)	
(select)		(select)	
<b>Total Co-financing</b>			13,254,600

**D. TRUST FUND RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY<sup>1</sup>**

GEF Agency	Type of Trust Fund	Focal Area	Country Name/ Global	(in \$)		
				Grant Amount (a)	Agency Fee (b) <sup>2</sup>	Total c=a+b
UNDP	GEF TF	International Waters	Global	2,500,000	237,500	2,737,500
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total Grant Resources</b>				2,500,000	237,500	2,737,500

<sup>1</sup> In case of a single focal area, single country, single GEF Agency project, and single trust fund project, no need to provide information for this table. PMC amount from Table B should be included proportionately to the focal area amount in this table.

<sup>2</sup> Indicate fees related to this project.

**F. CONSULTANTS WORKING FOR TECHNICAL ASSISTANCE COMPONENTS:**

Component	Grant Amount (\$)	Cofinancing (\$)	Project Total (\$)
International Consultants	536,450		536,450
National/Local Consultants	526,980		526,980

**G. DOES THE PROJECT INCLUDE A “NON-GRANT” INSTRUMENT? No**

(If non-grant instruments are used, provide in Annex D an indicative calendar of expected reflows to your Agency and to the GEF/LDCF/SCCF/NPIF Trust Fund).

**PART II: PROJECT JUSTIFICATION**

## **A. DESCRIBE ANY CHANGES IN ALIGNMENT WITH THE PROJECT DESIGN OF THE ORIGINAL PIF<sup>4</sup>**

- A.1 National strategies and plans or reports and assessments under relevant conventions, if applicable, i.e. NAPAS, NBSAPs, national communications, TNAs, NCSA, NIPs, PRSPs, NPFE, Biennial Update Reports, etc. NA
- A.2. GEF focal area and/or fund(s) strategies, eligibility criteria and priorities. NA
- A.3 The GEF Agency's comparative advantage: NA
- A.4. The baseline project and the problem that it seeks to address: NA
- A. 5. Incremental /Additional cost reasoning: describe the incremental (GEF Trust Fund/NPIF) or additional (LDCF/SCCF) activities requested for GEF/LDCF/SCCF/NPIF financing and the associated global environmental benefits (GEF Trust Fund) or associated adaptation benefits (LDCF/SCCF) to be delivered by the project: NA
- A.6 Risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and measures that address these risks: NA
- A.7. Coordination with other relevant GEF financed initiatives The LME/ICM/MPA-Governance project will work directly with the GEF LME, ICM and MPA projects, and other marine and coastal initiatives within the IW portfolio and other focal areas of GEF, especially Biodiversity FA. Project learning and experience sharing activities will be coordinated with IW:LEARN-4 UNDP implemented project, which is supporting CoPs for groundwater and surface water projects. The project will work with IW:LEARN to add new LME specific tools and education and training courses, and link to another GEF IW learning project "Transboundary Waters Assessment Programme (TWAP)". Linkages with IW:LEARN are extensive, and addressed in detail below (including share of PSC, sharing of PCU staff and personnel, etc.). The LME/ICM/MPA-Governance project will build upon existing partnerships with other GEF and non- GEF supported marine and coastal initiatives implemented by UNDP, UNEP, the World Bank and IOC-UNESCO, FAO, IUCN, NOAA, GRID-Arendal amongst others. Some of the pre-existing activities include the annual LME Consultative Committee Meeting, hosted by IOC-UNESCO with support from IUCN, UNEP and NOAA; the partnership with the UNEP Regional Seas Programme and the UNEP Global Programme of Action (GPA), and between NOAA and UNEP. Other synergies that have been further explored during the PPG include the Global UNEP Ecosystem-based Capacity Building supported by GRID-Arendal and UNEP's Marine and Coastal Ecosystem Branch; FAOs work with the LME developing climate change proposals, their EAF-Toolbox, and the Global Partnership Climate, Fisheries and Aquaculture (PaCFA), the IUCN programmes, National Oceanic and Atmospheric Administration (including capacity across the Agency Line Offices); the International Council for the Exploration of the Sea (ICES) network of more than 1600 active marine scientists from over 200 institutes around the North Atlantic and adjacent seas, and the North Pacific network with PICES, linkages to ICES conferences and workshops and training courses; the University of British Columbia (UBC) Sea Around Us Project and the University of Rhode Island (URI); and the Woods Hole Oceanographic Institution (WHOI) Marine Policy Center. The LME/ICM/MPA-Governance project will, where appropriate, also coordinate and share pertinent activities, lessons and the development of new training and capacity development approaches with the recently-formed African Centre for Capacity Development in Ocean Governance (AfriCOG). AfriCOG is an existing and functioning pan-African partnership for ocean governance issues which already includes members of the African LME caucus. It therefore provides an opportunity for piloting management and governance capacity development at a multiple LME level complementary to the Governance Project's objectives, and may further be able to play an important role in the proposed networking process for the African continent and its island groups. AfriCOG is already coordinating closely with IW:LEARN4.

## **B. ADDITIONAL INFORMATION NOT ADDRESSED AT PIF STAGE:**

B.1 Describe how the stakeholders will be engaged in project implementation.

Stakeholders and their relevant roles are shown below. However, as indicated following the table, there is a hierarc

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<sup>4</sup> For questions A.1 –A.7 in Part II, if there are no changes since PIF and if not specifically requested in the review sheet at PIF stage, then no need to respond, please enter "NA" after the respective question.

stakeholders having most interaction with the project. These Circles of Inclusion are discussed below the list of sta

LME and ICM practitioners: The proposed project is global in scope and will improve inter-connectedness, comm experience generating and sharing amongst the diverse global community of LME and ICM practitioners.

Host Governments, Civil Society Organizations, Academic Research Institutes, Bilateral and Multilateral Agencies Private Sector, Local Communities: The existing GEF-IW LME and ICM projects already successfully engage with of stakeholders. The LME/ICM/MPA-Governance project will forge linkages between stakeholder groups at the reg global level. Members of the network will engage with the LME/ICM/MPA-Governance project as teachers / educa others will engage as students. Stakeholders and partner organizations (listed below) may alternate roles depending particular skill set and training needs. Members of the network will engage with the LME/ICM/MPA as teachers / e others will engage as students.

FAO: Fisheries advice and guidance; PACFA; harmonizing EBM (multisectoral) with EAF (Ecosystem-based App Fisheries), EAF Toolbox. Member of Steering Committee.

IUCN: Core Partner: Capacity building, training, development of toolboxes.

ICES: Core Partner: Capacity building (training); knowledge management; twinning exchange management.

UNU-INWEH: Engagement of academia; educational capacity bulding; knowledge management.

NOAA: Continue to provide relevant science and technical support to LME projects' objectives related to conserva management of living and non-living marine resources, especially in support of an ecosystem-based approach. Supp building through trainings and other knowledge sharing; participate as part of the global network of LME partners.

IOC-UNESCO: Capacity building, technical knowledge, data and information exchange, project management, proj sustainability.

IW:LEARN4: Key partner. Educational capacity building; knowledge management and sharing; and work with th LME/ICM/MPA projects to engage the private sector, dissemination of the project results and best practices. Colla PCU level, including sharing of staff support for web development. Possibly share IT manager, administration/fin and training coordinator. Collaboration on regional workshops, twinning, and visualization efforts, as well as econ To the extent possible, IW:Learn and LME Connect will share staff, offices, steering committees, etc.

UNDP: Share experience from extensive LME and ICM experience. Programme management, capacity building.

UNEP: Steering Committee Member. Interaction between LME CONNECT and Regional Seas Program in 2016. experience in scientific assessments, capacity building, on-the-ground interventions.

Conservation International: Core Partner. Engagement on knowledge learning and capacity building by sharing ex Seascapes and marine scientific analyses worldwide. Identify knowledge needs, synthesize existing information int materials and incorporate into interactive discussions with policy-makers.

AfriCOGs: Cooperation and coordination at various levels for activities, capacity building, etc.

WWF: Sharing of experiences in LMEs, capacity building, stakeholder processes.

The innermost circle of inclusion for the project consists of the Four Regional Networks representing national Gove established under this project, in collaboration with IW:Learn, along with the Executing Agency, the Implementing IW:Learn, and core partners (such as ICES, CI, NOAA, IUCN: in general, core partners are ones who assisted in L development, and contributed significant co-financing to the project). As a close and complementary activity to IW this project focuses exclusively on LME governance mechanisms and activities, linking closely with IW:Learn to b rich history, and to feed information to IW:Learn so IW:Learn will continue its mission as a portal for information c waters (both freshwater and coastal/marine).

The second circle consists of the GEF funded LME project areas (whether a project is active or not), as well as GEF Marine Protected Area project sites and GEF-funded ICM project sites. The third circle consists of other non-GEF LMEs, ICMs and MPAs within the geographic areas represented by the Four Regional Networks and other regions

The outer circle for the project consists of the private sector, academic institutions, civil society organizations, local bilateral and multi-lateral agencies, and other UN Agencies (UNEP, FAO, etc.). The project activities will impact c

these different circles of inclusion, generally with the impact increasing from the outermost circle to the innermost circle. For any single Activity or Sub-Activity, the circle of inclusion may be smaller than these circles defined above. For certain circles are limited by the available funding limitations, such as twinning, which necessarily cannot include a certain activities (e.g., webinars) may be easily shared widely; training materials may be shared widely, whereas training and personnel cannot be shared widely.

B.2 Describe the socioeconomic benefits to be delivered by the Project at the national and local levels, including consideration of gender dimensions, and how these will support the achievement of global environment benefits (GEF Trust Fund/NPIF) or adaptation benefits (LDCF/SCCF): Although the proposed project has a global scope it will operate through the LME, ICM and MPA projects and the broad network of public and private sector organisations that are engaged in the projects. The LME/ICM/MPA-Governance project will allow the exchange of best environmental practices and improve socio-economic benefits at national and local levels through building the institutional capacity of host nations to stem the loss in ecosystem goods and services. By improving coastal and marine management and governance practices, the project will improve ecosystem health and subsequently ecosystem services, such as seafood security and shoreline protection, and human well-being, such as livelihood opportunities, incomes and standards of living. The LME/ICM/MPA-Governance project will promote the mainstreaming of gender into LME, ICM and MPA projects and provide support to better enable these projects to address the gender dimension. The education and training courses provided through the LME/ICM/MPA-Governance project will advocate the direct involvement of both women and men, and will provide training in the development and harmonization of gender-balanced policies and legislative frameworks.

B.3. Explain how cost-effectiveness is reflected in the project design: The project's objective to improve global ecosystem-based governance of Large Marine Ecosystems will reduce the costs associated with governing LMEs. Facilitating information exchanges and compiling a toolkit of best practices will reduce duplication of efforts, creating a multiplier effect and improving outcomes. Further, working closely with IW:LEARN will result in extending the reach of this program's efforts, both temporally and geographically, thus ensuring that the resources that have already been expended will continue to be put to good use. The project will work with and benefit from existing programs globally, including national and international NGOs, sectoral research institutes and private sector stakeholders. The project will also depend on experts from partner organizations, reducing the need for consultants.

**C. DESCRIBE THE BUDGETED M & E PLAN:** The project will be monitored through the following M& E activities. The M& E budget is provided below.

Project start:

A Project Inception Workshop will be held within the first 2 months of project start with those with assigned roles in the project organization structure, UNDP country office and where appropriate/feasible regional technical policy and programme advisors as well as other stakeholders. The Inception Workshop is crucial to building ownership for the project results and to plan the first year annual work plan.

The Inception Workshop will address a number of key issues including:

a) Assist all partners to fully understand and take ownership of the project. Detail the roles, support services and complementary responsibilities of UNDP Istanbul Regional Center (IRC) staff vis à vis the project team. Discuss the roles, functions, and responsibilities within the project's decision-making structures, including reporting and communication lines, and conflict resolution mechanisms. The Terms of Reference for project staff will be discussed again as needed.



- b) Based on the project results framework and the relevant GEF IW Tracking Tool if appropriate, finalize the first annual work plan. Review and agree on the indicators, targets and their means of verification, and recheck assumptions and risks.
- c) Provide a detailed overview of reporting, monitoring and evaluation (M&E) requirements. The Monitoring and Evaluation work plan and budget should be agreed and scheduled.
- d) Discuss financial reporting procedures and obligations, and arrangements for annual audit.
- e) Plan and schedule Project Steering Committee meetings. Roles and responsibilities of all project organisation structures should be clarified and meetings planned. The first Project Steering Committee meeting should be held within the first 12 months following the inception workshop.

An Inception Workshop report is a key reference document and must be prepared and shared with participants to formalize various agreements and plans decided during the meeting.

#### Quarterly:

- Progress made shall be monitored in the UNDP Enhanced Results Based Management Platform.
- Based on the initial risk analysis submitted, the risk log shall be regularly updated in ATLAS. Risks become critical when the impact and probability are high. Note that for UNDP GEF projects, all financial risks associated with financial instruments such as revolving funds, microfinance schemes, or capitalization of ESCOs are automatically classified as critical on the basis of their innovative nature (high impact and uncertainty due to no previous experience justifies classification as critical).
- Based on the information recorded in Atlas, a Project Progress Reports (PPR) can be generated in the Executive Snapshot.
- Other ATLAS logs can be used to monitor issues, lessons learned etc... The use of these functions is a key indicator in the UNDP Executive Balanced Scorecard.

#### Annually:

- Annual Project Review/Project Implementation Reports (APR/PIR): This key report is prepared to monitor progress made since project start and in particular for the previous reporting period (30 June to 1 July). The APR/PIR combines both UNDP and GEF reporting requirements.

The APR/PIR includes, but is not limited to, reporting on the following:

- Progress made toward project objective and project outcomes - each with indicators, baseline data and end-of-project targets (cumulative)
- Project outputs delivered per project outcome (annual).
- Lesson learned/good practice.
- AWP and other expenditure reports
- Risk and adaptive management
- ATLAS QPR

- Portfolio level indicators (i.e. GEF focal area tracking tools) are used by most focal areas on an annual basis as well.

#### Mid-term of project cycle:

The project will undergo an independent Mid-Term Evaluation at the mid-point of project implementation (insert date). The Mid-Term Evaluation will determine progress being made toward the achievement of outcomes and will identify course correction if needed. It will focus on the effectiveness, efficiency and timeliness of project implementation; will highlight issues requiring decisions and actions; and will present initial lessons learned about project design, implementation and management. Findings of this review will be incorporated as recommendations for enhanced implementation during the final half of the project's term. The organization, terms of reference and timing of the mid-term evaluation will be decided after consultation between the parties to the project document. The Terms of Reference for this Mid-term evaluation will be prepared by IOC based on guidance from the UNDP/GEF RTA at IRC. The management response and the evaluation will be uploaded to UNDP corporate systems, in particular the UNDP Evaluation Office Evaluation Resource Center (ERC).

The relevant GEF IW Focal Area Tracking Tools will also be completed during the mid-term evaluation cycle.

#### End of Project:

An independent Final Evaluation will take place three months prior to the final Project Board meeting and will be undertaken in accordance with UNDP and GEF guidance. The final evaluation will focus on the delivery of the project's results as initially planned (and as corrected after the mid-term evaluation, if any such correction took place). The final evaluation will look at impact and sustainability of results, including the contribution to capacity development and the achievement of global environmental benefits/goals. The Terms of Reference for this evaluation will be prepared by IOC based on guidance from the UNDP/GEF RTA at IRC. The Terminal Evaluation should also provide recommendations for follow-up activities and requires a management response which should be uploaded to PIMS and to the UNDP Evaluation Office Evaluation Resource Center (ERC).

The relevant GEF IW Focal Area Tracking Tools will also be completed during the final evaluation.

During the last three months, the project team will prepare the Project Terminal Report. This comprehensive report will summarize the results achieved (objectives, outcomes, outputs), lessons learned, problems met and areas where results may not have been achieved. It will also lay out recommendations for any further steps that may need to be taken to ensure sustainability and replicability of the project's results.

#### Learning and knowledge sharing:

Results from the project will be disseminated within and beyond the project intervention zone through the project website and network and through IW:LEARN existing information sharing network.

The project will identify and participate, as relevant and appropriate, in scientific, policy-based and/or any other networks, which may be of benefit to project implementation though lessons learned. The project will identify, analyze, and share lessons learned that might be beneficial in the design and implementation of similar future projects.

Finally, there will be a two-way flow of information between this project and other projects of a similar focus.

#### Communications and visibility requirements:

Full compliance is required with UNDP's Branding Guidelines. These can be accessed at <http://intra.undp.org/coa/branding.shtml>, and specific guidelines on UNDP logo use can be accessed at:

<http://intra.undp.org/branding/useOfLogo.html>. Amongst other things, these guidelines describe when and how the UNDP logo needs to be used, as well as how the logos of donors to UNDP projects needs to be used. For the avoidance of any doubt, when logo use is required, the UNDP logo needs to be used alongside the GEF logo. The GEF logo can be accessed at: [http://www.thegef.org/gef/GEF\\_logo](http://www.thegef.org/gef/GEF_logo). The UNDP logo can be accessed at <http://intra.undp.org/coa/branding.shtml>.

Full compliance is also required with the GEF's Communication and Visibility Guidelines (the "GEF Guidelines"). The GEF Guidelines can be accessed at:

[http://www.thegef.org/gef/sites/thegef.org/files/documents/C.40.08\\_Branding\\_the\\_GEF%20final\\_0.pdf](http://www.thegef.org/gef/sites/thegef.org/files/documents/C.40.08_Branding_the_GEF%20final_0.pdf). Amongst other things, the GEF Guidelines describe when and how the GEF logo needs to be used in project publications, vehicles, supplies and other project equipment. The GEF Guidelines also describe other GEF promotional requirements regarding press releases, press conferences, press visits, visits by Government officials, productions and other promotional items.

Where other agencies and project partners have provided support through co-financing, their branding policies and requirements should be similarly applied.

#### M&E Workplan and Budget:

Inception Workshop and Report (Project Manager; UNDP CO, UNDP GEF): Indicative cost - 10,000. Within first two months of project start up

Measurement of Means of Verification of project results (UNDP GEF RTA/Project Manager will oversee the hiring of specific studies and institutions, and delegate responsibilities to relevant team members): Cost to be finalized in Inception Phase and Workshop. Start, mid and end of project (during evaluation cycle).

Measurement of Means of Verification for Project Progress on output and implementation (Oversight by Project Manager; Project team): Cost to be determined as part of the Annual Work Plan's preparation. Annually prior to ARR/PIR and to the definition of annual workplans.

ARR/PIR (Project manager and team; UNDP CO; UNDP RTA; UNDP EEG): Cost - NONE. Annually.

Periodic status/progress reports (Project Manager and team): Cost - NONE. Quarterly.

Mid-term Evaluation (Project manager and team; UNDP CO; UNDP RCU; External Consultants): Indicative Cost - 40,000. At the mid-point of project implementation.

Final Evaluation (Project Manager and team; UNDP CO; UNDP RCU; External Consultants): Indicative cost - 40,000. At least three months before the end of project implementation.

Project Terminal Report (Project Manager and team; UNDP CO; local consultant): Cost - NONE. At least three months before the end of the project.

Audit (UNDP CO; Project Manager and team): Indicative cost per year - 3,000. Yearly.

Visits to field sites (UNDP CO; UNDP RCU as appropriate; Government representatives: Cost - for GEF supported projects, paid from IA fees and operational budget. Yearly.

TOTAL indicative COST (excluding project team staff time and UNDP staff and travel expenses: 60,000 (+/-5% of total budget)




**PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)**

- A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):**  
 (Please attach the [Operational Focal Point endorsement letter\(s\)](#) with this form. For SGP, use this [OFP endorsement letter](#)).

NAME	POSITION	MINISTRY	DATE (MM/dd/yyyy)
N/A Global project			

**B. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF/LDCF/SCCF/NPIF policies and procedures and meets the GEF/LDCF/SCCF/NPIF criteria for CEO endorsement/approval of project.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Adriana Dinu UNDP-GEF Executive Coordinator		05/15/2015	Vladimir Mamaev		vladimir.mamaev@undp.org

**ANNEX A: PROJECT RESULTS FRAMEWORK** (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).  
See **PROJECT RESULTS FRAMEWORK** in UNDP Project Document: Strengthening Global Governance of Large Marine Ecosystems and Their Coasts through Enhanced Sharing and Application of LME/ICM/MPA Knowledge and Information Tools (LME LEARN), page 25.

**ANNEX B: RESPONSES TO PROJECT REVIEWS** (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).

Comments from STAP were taken into account during the preparation of the Project Document. In addition, comments from GEFSEC and Council, as well as numerous inputs from various partner agencies and others were taken into account. Comments from GEFSEC dated August 2014 were addressed in full; GEFSEC comments from December 2014 also were addressed in full in the accompanying response matrix.

**ANNEX C: STATUS OF IMPLEMENTATION OF PROJECT PREPARATION ACTIVITIES AND THE USE OF FUNDS<sup>5</sup>**

A. PROVIDE DETAILED FUNDING AMOUNT OF THE PPG ACTIVITIES FINANCING STATUS IN THE TABLE BELOW:

<b>PPG Grant Approved at PIF: 75,000 USD</b>			
<b><i>Project Preparation Activities Implemented</i></b>	<b><i>GEF/LDCF/SCCF/NPIF Amount (\$)</i></b>		
	<b><i>Budgeted Amount</i></b>	<b><i>Amount Spent To date</i></b>	<b><i>Amount Committed</i></b>
Fact finding mission/consultations with partners, organization of consultation meetings	25,093	25,091	
Fact finding mission/consultations with partners, organization of consultation meetings	45,000	45,000	
Fact finding mission/consultations with partners, organization of consultation meetings	4,907	4,426	
<b>Total</b>	75,000	74,517	0

<sup>5</sup> If at CEO Endorsement, the PPG activities have not been completed and there is a balance of unspent fund, Agencies can continue undertake the activities up to one year of project start. No later than one year from start of project implementation, Agencies should report this table to the GEF Secretariat on the completion of PPG activities and the amount spent for the activities.



**ANNEX D: CALENDAR OF EXPECTED REFLOWS (if non-grant instrument is used)**

Provide a calendar of expected reflows to the GEF/LDCF/SCCF/NPIF Trust Fund or to your Agency (and/or revolving fund that will be set up)

N/A